SECTION I: BACKGROUND INFORMATION

A. REPORT COMPLETION DATE FOR APPROVED JURISDICTIONAL DETERMINATION (JD): 16-Jan-2013

B. DISTRICT OFFICE, FILE NAME, AND NUMBER: New York District, NAN-2012-01208-JD1

C. PROJECT LOCATION AND BACKGROUND INFORMATION:

   State: NY - New York
   County/parish/borough: Saratoga
   City: Clifton Park
   Lat: 42.84901
   Long: -73.77132
   Universal Transverse Mercator
   Folder UTM List
     UTM list determined by folder location
     ● NAD83 / UTM zone 18N
   Waters UTM List
     UTM list determined by waters location
     ● NAD83 / UTM zone 18N

   Name of nearest waterbody: Unnamed Tributary
   Name of nearest Traditional Navigable Water (TNW): Mohawk River
   Name of watershed or Hydrologic Unit Code (HUC): Hudson-Hoosic

D. REVIEW PERFORMED FOR SITE EVALUATION:

   ☑ Office Determination Date:
   ☑ Field Determination Date(s): 01-Nov-2012

SECTION II: SUMMARY OF FINDINGS

A. RHA SECTION 10 DETERMINATION OF JURISDICTION

There "navigable waters of the U.S." within Rivers and Harbors Act (RHA) jurisdiction (as defined by 33 CFR part 329) in the review area.

   ☐ Waters subject to the ebb and flow of the tide.
   ☐ Waters are presently used, or have been used in the past, or may be susceptible for use to transport interstate or foreign commerce.

   Explain:

B. CWA SECTION 404 DETERMINATION OF JURISDICTION.

There "waters of the U.S." within Clean Water Act (CWA) jurisdiction (as defined by 33 CFR part 328) in the review area.

1. Waters of the U.S.
   a. Indicate presence of waters of U.S. in review area:

<table>
<thead>
<tr>
<th>Water Name</th>
<th>Water Type(s) Present</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>Wetlands directly abutting RPWs that flow directly or indirectly into TNWs</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>Wetlands directly abutting RPWs that flow directly or indirectly into TNWs</td>
</tr>
</tbody>
</table>

   b. Identify (estimate) size of waters of the U.S. in the review area:

      Area: (m²)
      Linear: (m)

   c. Limits (boundaries) of jurisdiction:

      based on:
      OHWM Elevation: (if known)
2. Non-regulated waters/wetlands:  

Potentially jurisdictional waters and/or wetlands were assessed within the review area and determined to be not jurisdictional. Explain:

SECTION III: CWA ANALYSIS

A. TNWs AND WETLANDS ADJACENT TO TNWs

1. TNW  
Not Applicable.

2. Wetland Adjacent to TNW  
Not Applicable.

B. CHARACTERISTICS OF TRIBUTARY (THAT IS NOT A TNW) AND ITS ADJACENT WETLANDS (IF ANY):

1. Characteristics of non-TNWs that flow directly or indirectly into TNW

   (i) General Area Conditions:
   Watershed size: acres
   Drainage area: 35.5 acres
   Average annual rainfall: 35.74 inches
   Average annual snowfall: 63.9 inches

   (ii) Physical Characteristics
   (a) Relationship with TNW:
   ☐ Tributary flows directly into TNW.
   ☐ Tributary flows through [ ] tributaries before entering TNW.
   :Number of tributaries
   Project waters are 2-5 river miles from TNW.
   Project waters are 1 (or less) river miles from RPW.
   Project Waters are 2-5 aerial (straight) miles from TNW.
   Project waters are 1 (or less) aerial (straight) miles from RPW.
   ☐ Project waters cross or serve as state boundaries.
   Explain:  
The subject waters do not serve or cross state boundaries.

   Identify flow route to TNW:  
   Wetlands to tributary to TNW.

   Tributary Stream Order, if known:
   Not Applicable.

   (b) General Tributary Characteristics:
   Tributary is:
   Not Applicable.

   Tributary properties with respect to top of bank (estimate):
   Not Applicable.

   Primary tributary substrate composition:
   Not Applicable.

   Tributary (conditions, stability, presence, geometry, gradient):
   Not Applicable.

   (c) Flow:
   Not Applicable.

   Surface Flow is:
   Not Applicable.

   Subsurface Flow:
   Not Applicable.
Tributary has:
Not Applicable.

If factors other than the OHWM were used to determine lateral extent of CWA jurisdiction:

High Tide Line indicated by:
Not Applicable.

Mean High Water Mark indicated by:
Not Applicable.

(iii) Chemical Characteristics:
Characterize tributary (e.g., water color is clear, discolored, oily film; water quality; general watershed characteristics, etc.).
Not Applicable.

(iv) Biological Characteristics. Channel supports:
Not Applicable.

2. Characteristics of wetlands adjacent to non-TNW that flow directly or indirectly into TNW

(i) Physical Characteristics:

(a) General Wetland Characteristics:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Size (Acres)</th>
<th>Wetland Type</th>
<th>Wetland Quality</th>
<th>Cross or Serve as State Boundaries. Explain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>.84</td>
<td>Forested Wetland</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>2.35</td>
<td>Mainly forested, and scrub shrub.</td>
<td>-</td>
<td>Wetlands do not cross or serve as state boundaries.</td>
</tr>
</tbody>
</table>

(b) General Flow Relationship with Non-TNW:

Flow is:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Flow</th>
<th>Explain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>Intermittent flow.</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>Intermittent flow.</td>
<td>-</td>
</tr>
</tbody>
</table>

Surface flow is:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Flow</th>
<th>Characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>Overland sheetflow</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>Overland sheetflow</td>
<td>-</td>
</tr>
</tbody>
</table>

Subsurface flow:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Subsurface Flow</th>
<th>Explain Findings</th>
<th>Dye (or other) Test</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

(c) Wetland Adjacency Determination with Non-TNW:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Directly Abutting</th>
<th>Discrete Wetland Hydrologic Connection</th>
<th>Ecological Connection</th>
<th>Separated by Berm/Barrier</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>Yes</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>Yes</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

(d) Proximity (Relationship) to TNW:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>River Miles From TNW</th>
<th>Aerial Miles From TNW</th>
<th>Flow Direction</th>
<th>Within Floodplain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>2-5</td>
<td>2-5</td>
<td>Wetland to navigable waters</td>
<td>100 - 500-year</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>2-5</td>
<td>2-5</td>
<td>Wetland to navigable waters</td>
<td>100 - 500-year</td>
</tr>
</tbody>
</table>

(ii) Chemical Characteristics:
Characterize tributary (e.g., water color is clear, discolored, oily film; water quality; general watershed characteristics, etc.).

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Explain</th>
<th>Identify specific pollutants, if known</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

(iii) Biological Characteristics. Wetland supports:
3. Characteristics of all wetlands adjacent to the tributary (if any):
All wetlands being considered in the cumulative analysis:
Not Applicable.

Summarize overall biological, chemical and physical functions being performed:
Not Applicable.

C. SIGNIFICANT NEXUS DETERMINATION

A significant nexus analysis will assess the flow characteristics and functions of the tributary itself and the functions performed by any wetlands adjacent to the tributary to determine if they significantly affect the chemical, physical, and biological integrity of a TNW. For each of the following situations, a significant nexus exists if the tributary, in combination with all of its adjacent wetlands, has more than a speculative or insubstantial effect on the chemical, physical and/or biological integrity of a TNW. Considerations when evaluating significant nexus include, but are not limited to the volume, duration, and frequency of the flow of water in the tributary and its proximity to a TNW, and the functions performed by the tributary and all its adjacent wetlands. It is not appropriate to determine significant nexus based solely on any specific threshold of distance (e.g. between a tributary and its adjacent wetland or between a tributary and the TNW). Similarly, the fact an adjacent wetland lies within or outside of a floodplain is not solely determinative of significant nexus.

Findings for: Wetland 1, 2012-01208, Wetland 2, 2012-01208
Wetlands 1 and 2 have the presence of a significant nexus to TNW's as these wetlands directly abut a relatively permanent water (seasonal). The intermittent stream is a tributary to the Mohawk River.

D. DETERMINATIONS OF JURISDICTIONAL FINDINGS. THE SUBJECT WATERS/WETLANDS ARE:

1. TNWs and Adjacent Wetlands:
Not Applicable.

2. RPWs that flow directly or indirectly into TNWs:
Not Applicable.

Provide estimates for jurisdictional waters in the review area:
Not Applicable.

3. Non-RPWs that flow directly or indirectly into TNWs:
Not Applicable.

Provide estimates for jurisdictional waters in the review area:
Not Applicable.

4. Wetlands directly abutting an RPW that flow directly or indirectly into TNWs.

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Flow</th>
<th>Explain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>SEASONAL</td>
<td>-</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>SEASONAL</td>
<td>-</td>
</tr>
</tbody>
</table>

Provide acreage estimates for jurisdictional wetlands in the review area:

<table>
<thead>
<tr>
<th>Wetland Name</th>
<th>Type</th>
<th>Size (Linear) (m)</th>
<th>Size (Area) (m²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wetland 1, 2012-01208</td>
<td>Wetlands directly abutting RPWs that flow directly or indirectly into TNWs</td>
<td>-</td>
<td>3399.35904</td>
</tr>
<tr>
<td>Wetland 2, 2012-01208</td>
<td>Wetlands directly abutting RPWs that flow directly or indirectly into TNWs</td>
<td>-</td>
<td>9510.1116</td>
</tr>
<tr>
<td>Total:</td>
<td></td>
<td>0</td>
<td>12909.47064</td>
</tr>
</tbody>
</table>

5. Wetlands adjacent to but not directly abutting an RPW that flow directly or indirectly into TNWs:
Not Applicable.

Provide acreage estimates for jurisdictional wetlands in the review area:
Not Applicable.

6. Wetlands adjacent to non-RPWs that flow directly or indirectly into TNWs:
Not Applicable.
Provide estimates for jurisdictional wetlands in the review area:
Not Applicable.

7. Impoundments of jurisdictional waters:
Not Applicable.

E. ISOLATED [INTERSTATE OR INTRA-STATE] WATERS INCLUDING ISOLATED WETLANDS, THE USE, DEGRADATION OR DESTRUCTION OF WHICH COULD AFFECT INTERSTATE COMMERCE, INCLUDING ANY SUCH WATERS:
Not Applicable.

Identify water body and summarize rationale supporting determination:
Not Applicable.

Provide estimates for jurisdictional waters in the review area:
Not Applicable.

F. NON-JURISDICTIONAL WATERS. INCLUDING WETLANDS
If potential wetlands were assessed within the review area, these areas did not meet the criteria in the 1987 Corps of Engineers Wetland Delineation Manual and/or appropriate Regional Supplements:

☐ Review area included isolated waters with no substantial nexus to interstate (or foreign) commerce:
☐ Prior to the Jan 2001 Supreme Court decision in "SWANCC," the review area would have been regulated based solely on the "Migratory Bird Rule" (MBR):
☐ Waters do not meet the "Significant Nexus" standard, where such a finding is required for jurisdiction (Explain):

☐ Other (Explain):

Provide acreage estimates for non-jurisdictional waters in the review area, where the sole potential basis of jurisdiction is the MBR factors (ie., presence of migratory birds, presence of endangered species, use of water for irrigated agriculture), using best professional judgment:
Not Applicable.

Provide acreage estimates for non-jurisdictional waters in the review area, that do not meet the "Significant Nexus" standard, where such a finding is required for jurisdiction.
Not Applicable.

SECTION IV: DATA SOURCES.

A. SUPPORTING DATA. Data reviewed for JD
(listed items shall be included in case file and, where checked and requested, appropriately reference below):

<table>
<thead>
<tr>
<th>Data Reviewed</th>
<th>Source Label</th>
<th>Source Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>--Maps, plans, plots or plat submitted by or on behalf of the applicant/consultant</td>
<td>Delineation Map</td>
<td>&quot;Wetland Delineation Map for Land of Sang Y. Kim (226 Woodin Road)&quot;, prepared by S.Y. Kim, dated October 18, 2012, and last revised on November 6, 2012.</td>
</tr>
<tr>
<td>--Corps navigable waters study</td>
<td>USACE Listing</td>
<td>for Mohawk River</td>
</tr>
<tr>
<td>--U.S. Geological Survey map(s).</td>
<td>7.5' Quadrangle</td>
<td>Niskayuna Quadrangle</td>
</tr>
<tr>
<td>--USDA Natural Resources Conservation Service Soil Survey.</td>
<td>County Survey</td>
<td>Survey for Saratoga County</td>
</tr>
<tr>
<td>--State/Local wetland inventory map(s):</td>
<td>Environmental Resource Mapper</td>
<td>NYSDEC Environmental Resource Mapper for Saratoga County.</td>
</tr>
<tr>
<td>--Photographs</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>----Other</td>
<td>Ground Level</td>
<td>Taken by NCES and included in wetland delineation report.</td>
</tr>
<tr>
<td>--Other information</td>
<td>Site Inspection</td>
<td>USACE inspection of site conducted on November 1, 2012.</td>
</tr>
</tbody>
</table>

B. ADDITIONAL COMMENTS TO SUPPORT JD:
Not Applicable.

1. Boxes checked below shall be supported by completing the appropriate sections in Section III below.
2. For purposes of this form, an RPW is defined as a tributary that is not a TNW and that typically flows year-round or has continuous flow at least "seasonally" (e.g., typically 3 months).
3. Supporting documentation is presented in Section III.F.
4. Note that the Instructional Guidebook contains additional information regarding swales, ditches, washes, and erosional features generally and in the arid West.
5. Flow route can be described by identifying, e.g., tributary a, which flows through the review area, to flow into tributary b, which then flows into TNW.

6. A natural or man-made discontinuity in the OHWM does not necessarily sever jurisdiction (e.g., where the stream temporarily flows underground, or where the OHWM has been removed by development or agricultural practices). Where there is a break in the OHWM that is unrelated to the waterbody's flow regime (e.g., flow over a rock outcrop or through a culvert), the agencies will look for indicators of flow above and below the break.

7. Ibid.

8. See Footnote #3.

9. To complete the analysis refer to the key in Section III.D.6 of the Instructional Guidebook.

10. Prior to asserting or declining CWA jurisdiction based solely on this category, Corps Districts will elevate the action to Corps and EPA HQ for review consistent with the process described in the Corps/EPA Memorandum Regarding CWA Act Jurisdiction Following Rapanos.